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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First named
Inventor : Stephen T. Kuehn et al.

Appln. No. : 09/694,293

Filed : October 23, 2000

For : MITRAL AND TRICUSPID VALVE
REPAIR

Docket No.: S16.12-0101

Group Art Unit: 3731

Examiner: Vi X. Nguyen

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

I HEREBY CERTIFY THAT THIS PAPER IS BEING SENT BY U.S.
MAIL, FIRST CLASS, TO THE COMMISSIONER FOR PATENTS,
P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, THIS

11 DAY OF May, 2006

Halle A. Finnegan
PATENT ATTORNEY

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed.

TIME OF FILING

The information disclosure statement is being filed:

1. 1. Within three month of the filing date of a national application other than a Continued Prosecution Application (CPA);
2. Within three months of the date of entry of the National Stage international application;
3. Before the mailing date of a first Office Action on the merits; or
4. Before the mailing of a first Office Action after the filing of a Request for Continue Examination (RCE).

2. after the time period specified in paragraph 1 above, but before the mailing date of a final action under 37 C.F.R. § 1.113 or notice of allowance under 37 C.F.R. § 1.311. Therefore, in accordance with 37 C.F.R. § 1.97(c), submitted herewith is:
(check either A or B below)

- A. a statement as specified in 37 C.F.R. § 1.97(e).
B. the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c).

3. after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:
A. a statement as specified in 37 C.F.R. § 1.97(e);
B. the petition fee set forth in 37 C.F.R. § 1.17(p).

STATEMENT

(only used if No. 2(A) or No. 3 above is checked)

The person(s) signing below certify

(check appropriate paragraph)

X that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(1).

OR

 that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(2).

METHOD OF PAYMENT

X No fee is required.

 Attached is a check in the amount of \$_____.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

By: Hallie A Finucane
Hallie A. Finucane, Reg. No. 33,172
900 Second Avenue South, Suite 1400
Minneapolis, Minnesota 55402-3319
Phone: (612) 334-3222 Fax: (612) 334-3312

HAF:tlr



FORM PTO-1449	Atty. Docket No.: S16.12-0101	Appl. No.: 09/694,293
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		First Named Inventor:
		Stephen T. Kuehn et al.
		Filing Date October 23, 2000

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	5,453,086	9-26-95	Weber			
AB	5,732,872	3-31-98	Bolduc et al.			
AC	5,618,307	4-8-97	Donlon et al.			
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Sub Class	Translation Yes No
	AL	9920183	10-21-98	WIPO			
	AM	4319829	6-16-93	Germany			
	AN						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	
AP	
AQ	

EXAMINER: _____ DATE CONSIDERED: _____

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.